

MONEY LAUNDERING REPORTING OFFICER (MLRO)  
MONEY LAUNDERING COMPLIANCE OFFICER (MLCO)  
PERSONAL QUESTIONNAIRE

- 1) Full Legal Name
  
- 2) Former Name (if different from given name above)
  
- 3) Date and Place of Birth
  
- 4) Nationality
  
- 5) Full home address at the present time
  
- 6) List home address in full for the previous 5 years if different from that given above, and give the related dates.
  
  
  
  
  
  
  
  
  
  
- 7) Marital status. If married give full name of spouse including maiden name of wife.
  
  
  
  
  
  
  
  
  
  
- 8) Provide 2 forms of identification including passport. (Enclose copies of relevant pages).
  
  
  
  
  
  
  
  
  
  
- 9) Provide details of academic and professional qualifications and date(s) obtained.
  
  
  
  
  
  
  
  
  
  
- 10) Give full details of your profession or occupation over the past 10 years; include the names and addresses of all employers and the nature of your employment; give all relevant dates and state briefly the reasons for changes.

11) Give a list of all companies in which you have held an interest of 25% or more during the past 10 years. Give brief particulars of the nature of the business of all such companies.

12) Please provide a police recommendation covering the previous 5 years.

13) Give the full details of your financial interest in the proposed financial service provider, and the percentage ownership that this will represent, if applicable.

14) Have you ever been arrested, detained, charged, indicted or summoned to answer for any criminal offence or violation for any reason whatsoever, regardless of the result of the event, in any country? (Except MINOR traffic offences) (State YES or NO).

If YES give details. List all cases without exception:

Date of Arrest or Detention

Age

Charge

Location

Result

15) Have you ever been declared bankrupt or been the subject of a bankruptcy petition or ever received a judgement against you in a civil court (State YES or NO).

If YES give full details.

16) Has any company or firm of which you were a partner, director or comptroller been the subject of a winding up petition?

17) Give the names, addresses and telephone numbers of three referees, including a financial institution. Referees should not be relatives. They should be informed that the Commission might wish to contact them.

18) Please indicate the proposed role/position with the institution.

MLRO

MLCO

Both

19) Provide an organisational chart which includes the proposed MLRO/MLCO position.

I certify that to the best of my knowledge and belief the information given in this form is complete and correct:

Date \_\_\_\_\_

Signed \_\_\_\_\_

#### **AUTHORISATION TO SEEK ADDITIONAL INFORMATION**

I/We hereby authorise you to contact all relevant authorities and authorise said authorities to provide you with whatever information you may request.

(Signed)

Please forward to: **The Anguilla Financial Services Commission**